

## Commitment to Legalities, Ethics, and Compliance

Our responsibilities to our customers, employees, communities, and shareholders go well beyond legalities. At Captis Intelligence, we are committed to maintaining the highest level of integrity and ethical culture. Our comprehensive policies, procedures, and compliance knowledge help us navigate the applicable laws, regulations, and industry codes, as well as our own ethical standards. This foundation has guided our business practices and assurance on conformity with policies, laws, and regulations that impact our work.

Trusted by many of the largest companies in the world, Captis Intelligence's commitment will continue to guide our business culture. The exclusive Captis database was created, and is continually updated from, over 25,000 public data sources, also known as "Open Data". Below is further information to educate our partners about public information files and the associated legislation.

### WHAT IS OPEN DATA

The story of open data in government stretches back to 1966 with the passage of the Freedom of Information Act (FOIA). More recently, the rise of the internet and new information technologies, brought increased attention to, and a better understanding of, the value of government information for oversight, analysis, and innovation by the public. Milestone bi-partisan legislation in more recent years has taken a more active role to ensure state and federal data is readily accessible, transparent, and open to innovative uses that may help and transform citizens' lives. Open-Source Government data is published in formats that make it available for unrestricted public use and without intellectual property or licensing restrictions.

### U.S. FEDERAL

Signed into law in 1967, the **Freedom of Information Act (FOIA), 5 U.S.C 552** is a federal freedom of information law that requires full or partial disclosure of previously unreleased information and documents upon request. The act was intended to make federal agencies' functions more transparent and accessible by the American public. FOIA explicitly applies to executive branch government agencies. These agencies are under several mandates to comply with public solicitation of information. One of the many responsibilities of the executive branch is overseeing the Department of Justice (DOJ) law enforcement, administration of justice, crime prevention, and providing the public subsequent FOIA data related to these activities.



**The Electronic Freedom of Information Act Amendments of 1996 (E-FOIA)** states that all agencies are required by statute to make certain types of records, created by the agency on or after November 1, 1996, available electronically. Agencies must also provide electronic sources or reading rooms for citizens to use to have access to records.

The **Open, Public, Electronic and Necessary (OPEN) Government Data Act (2019)** provides a sweeping, government-wide mandate for federal agencies to publish all their information as open data, including criminal records, using standardized, non-proprietary formats.



### U.S. STATES

In addition to the national FOIA, all 50 states have some form of FOIA legislation. Typically referred to as **Public Records Act, Transparency Act, Right to Know Act**, etc. While individual states vary in what they constitute as public record, there are multiple public data categories that all 50 states allow for the general public. Some of the most common are arrest records, criminal conviction records, civil judgments, court records, bankruptcies, and birth/death certificates. Below are examples of the 5 most populous states in the U.S. and their public data acts:

CALIFORNIA Public Records Act - Statutes of 1968, Chapter 1473, currently codified as Chapter 3.5 of Division 7 of Title 1 of the California Government Code)

TEXAS Public Information Act – Title 5, Subchapter A Subtitle 552


FLORIDA Freedom of Information Legislation – Fla State secs 119.01 to 119.15

NEW YORK Freedom of Information Law (FOIL) Dept of State Committee on Open Government – Article 6 Sections 84-90

PENNSYLVANIA Right to Know Law – 65 Pennsylvania statute 67.101



Example of Los Angeles data used by Captis

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